

Simulation of Crystal Structures by Empirical Atom-Atom Potentials. 1. The Tetragonal-to-Orthorhombic Distortion in La_2CuO_4 and in the High-Temperature Superconductors $\text{La}_{2-x}\text{M}_x\text{CuO}_4$ (M = Ba, Sr)

Michel Evain and Myung-Hwan Whangbo*

Department of Chemistry, North Carolina State University, Raleigh, North Carolina 27695-8204

Mark A. Beno, Urs Geiser, and Jack M. Williams*

Chemistry and Materials Science Divisions
Argonne National Laboratory
Argonne, Illinois 60439

Received June 25, 1987

The high-temperature superconductors¹ $\text{La}_{2-x}\text{M}_x\text{CuO}_4$ (M = Ba, Sr; $x \approx 0.1-0.2$; $T_c \approx 30-40$ K) are closely related in structure to the oxide La_2CuO_4 ,^{1h,2} which contains CuO_4 layers made up of corner-shared CuO_6 octahedra. The La^{3+} cations of La_2CuO_4 are located at the 9-coordination sites in between adjacent CuO_4 layers, and such 9-coordination sites are randomly occupied by the La^{3+} and M^{2+} cations in $\text{La}_{2-x}\text{M}_x\text{CuO}_4$. Above 533 K, the crystal structure of La_2CuO_4 is tetragonal, and its CuO_4 layers are flat.^{2b} Below 533 K, however, La_2CuO_4 is orthorhombic, and its CuO_4 layers are buckled.^{2a} When it was first observed that $\text{La}_{2-x}\text{M}_x\text{CuO}_4$ is tetragonal at room temperature,^{1h} it was proposed^{1h,3} that the tetragonal-to-orthorhombic (T \rightarrow O) distortion in La_2CuO_4 is a Peierls distortion,⁴ and the important role the dopant M plays in $\text{La}_{2-x}\text{M}_x\text{CuO}_4$ is to suppress this distortion. However, this reasoning was found to be incorrect, since the T \rightarrow O distortion (and hence the buckling of each CuO_4 layer) is not a Peierls distortion.⁵ Furthermore, $\text{La}_{2-x}\text{M}_x\text{CuO}_4$ does become orthorhombic⁶ well above its superconducting transition temperature (i.e., the T \rightarrow O distortion at $\sim 200-215$ and ~ 180 K for $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ ^{6b-d} and $\text{La}_{2-x}\text{Ba}_x\text{CuO}_4$,^{6a} respectively). The low-temperature properties of La_2CuO_4 depend sensitively upon the extent of lanthanum and oxygen vacancies.^{7,8}

Table I. The Atom-Atom Potential Parameters of the $\text{O}^{2-}\cdots\text{O}^{2-}$, $\text{Cu}^{2+}\cdots\text{Cu}^{2+}$, and $\text{La}^{3+}\cdots\text{La}^{3+}$ Pairs

pair	B (eV)	ρ (Å)	C (eV Å ⁶)
$\text{O}^{2-}\cdots\text{O}^{2-}$	1387.7	0.375	63.31
$\text{Cu}^{2+}\cdots\text{Cu}^{2+}$	269.10	0.264	0.5586
$\text{La}^{3+}\cdots\text{La}^{3+}$	28855	0.250	325.0

Nonsuperconducting samples of La_2CuO_4 are susceptible to an antiferromagnetic (AFM) ordering,⁸ and the electron localization associated with this AFM ordering is suppressed by the dopant M in $\text{La}_{2-x}\text{M}_x\text{CuO}_4$. Since the T \rightarrow O distortion is not a Peierls distortion,⁵ the buckling of an individual CuO_4 layer in La_2CuO_4 or $\text{La}_{2-x}\text{M}_x\text{CuO}_4$ does not stabilize the electronic energy.^{5a} Therefore, the T \rightarrow O distortion and the CuO_4 layer buckling in La_2CuO_4 must originate from the ionic interactions involving the La^{3+} cations.^{5a} In the present work, we evaluate these interactions on the basis of empirical atom-atom potentials.⁹

For ions i and j separated by the distance r_{ij} with the charges q_i and q_j , respectively, their Coulomb (W_c), nonbonded repulsion (W_{nr}), and van der Waals attraction (W_v) energies are expressed by⁹ $W_c = q_i q_j / r_{ij}$, $W_{nr} = B_{ij} \exp(-r_{ij}/\rho_{ij})$, and $W_v = -C_{ij}/r_{ij}^6$, where the constants B , ρ , and C are adjustable parameters to be determined on the basis of experimental data. We assume that the B , ρ , and C values between different ions are related to those between identical ions as^{9d,10}

$$B_{ij} = \sqrt{B_i B_j}$$

$$1/\rho_{ij} = (1/\rho_{ii} + 1/\rho_{jj})/2 \quad \text{and}$$

$$C_{ij} = \sqrt{C_{ii} C_{jj}} \quad (1)$$

The constant C_{ii} for a pair of identical ions i is approximated by^{9c}

$$C_{ii} = 3I_i P_i^2 / 4 \quad (2)$$

where I_i and P_i are the ionization potential and the polarizability of the ion i , respectively. With eq 1, it is necessary to determine the B , ρ , and C values only for the $\text{O}^{2-}\cdots\text{O}^{2-}$, $\text{Cu}^{2+}\cdots\text{Cu}^{2+}$, and $\text{La}^{3+}\cdots\text{La}^{3+}$ pairs to define all the atom-atom potentials present in La_2CuO_4 . Starting with the B , ρ , and C values of the $\text{O}^{2-}\cdots\text{O}^{2-}$ pair determined for the O-O distance range of 2.64-5.29 Å in MnO ,^{11,12} we use the WMIN program of Busing¹³ to derive¹⁴ the B , ρ , and C values for the $\text{Cu}^{2+}\cdots\text{Cu}^{2+}$, $\text{La}^{3+}\cdots\text{La}^{3+}$, and $\text{O}^{2-}\cdots\text{O}^{2-}$ pairs that reproduce the unit cell and atom positional parameters of CuO ¹⁵ and La_2O_3 .¹⁶ The B , ρ , and C parameters thus obtained are listed in Table I. As summarized in Table II, these B , ρ , and

(1) (a) Bednorz, J. G.; Müller, K. A. *Z. Phys. B. Condens. Matter* **1986**, *64*, 189. (b) Bednorz, J. G.; Müller, K. A.; Takashige, M. *Science (Washington, D. C.)* **1987**, *236*, 73. (c) Takagi, H.; Uchida, S.; Kitazawa, K.; Tanaka, S. *Jpn. J. Appl. Phys. Lett. Part 2*, **1987**, *26*, L123. (d) Uchida, S.; Takagi, H.; Kitazawa, K.; Tanaka, S. *Jpn. J. Appl. Phys. Lett.* **1987**, *26*, L1. (e) Cava, R. J.; van Dover, R. B.; Bartlogg, B.; Rietmann, E. A. *Phys. Rev. Lett.* **1987**, *58*, 408. (f) Chu, C. W.; Hor, P. H.; Meng, R. L.; Gao, L.; Huang, Z. J.; Wang, Y. Q. *Phys. Rev. Lett.* **1987**, *58*, 405. (g) Chu, C. W.; Hor, P. H.; Meng, R. L.; Gao, L.; Huang, Z. J. *Science (Washington, D. C.)* **1987**, *235*, 567. (h) Jorgensen, J. D.; Schüttler, H.-B.; Hinks, D. G.; Capone, D. W.; Zhang, K.; Brodsky, M. B.; Scalapino, D. J. *Phys. Rev. Lett.* **1987**, *58*, 1024. (i) Tarascon, J. M.; Greene, L. H.; McKinnon, W. R.; Hull, G. W.; Geballe, T. H. *Science (Washington, D. C.)* **1987**, *235*, 1373.

(2) (a) Grande, B.; Müller-Buschbaum, Hk.; Schweizer, M. Z. *Anorg. Allg. Chem.* **1977**, *424*, 120. (b) Longo, J. M.; Raccah, P. M. *J. Solid State Chem.* **1973**, *6*, 526.

(3) (a) Mattheiss, L. F. *Phys. Rev. Lett.* **1987**, *58*, 1028. (b) Yu, J. J.; Freeman, A. J.; Xu, J.-H. *Phys. Rev. Lett.* **1987**, *58*, 1035.

(4) (a) Peierls, R. E. *Quantum Theory of Solids*; Oxford University Press: London, 1955; p 108. (b) Berlinsky, A. J. *Contemp. Phys.* **1976**, *17*, 331. (c) Whangbo, M.-H. *Acc. Chem. Res.* **1983**, *16*, 95. (d) Moret, R.; Pouget, J. P. *Crystal Chemistry and Properties of Materials with Quasi-One-Dimensional Structures*; Rouxel, J., Ed.; Reidel: Dordrecht, The Netherlands, 1986; p 87.

(5) (a) Whangbo, M.-H.; Evain, M.; Beno, M. A.; Williams, J. M. *Inorg. Chem.* **1987**, *26*, 1829. (b) Barisic, S.; Batistic, I.; Friedel, J. *Europhys. Lett.* **1987**, *3*, 1231.

(6) (a) Paul, D. Mak; Balakrishnan, G.; Bernhoeft, N. R.; David, W. I. F.; Harrison, W. T. A. *Phys. Rev. Lett.* **1987**, *58*, 1976. (b) Cava, R. J.; Santoro, A.; Johnson, D. W.; Rhodes, W. W. *Phys. Rev. B: Condens. Matter* **1987**, *35*, 6716. (c) Moret, R.; Pouget, J. P.; Collin, G. *Europhys. Lett.* **1987**, in press. (d) Geiser, U.; Beno, M. A.; Schultz, A. J.; Wang, H. H.; Allen, T. J.; Monaghan, M. R.; Williams, J. M. *Phys. Rev. B: Condens. Matter* **1987**, *35*, 6721.

(7) (a) Beille, J.; Cabanel, R.; Chailout, C.; Chevallier, B.; Demazeau, G.; Deslandes, F.; Etourneau, J.; Lejay, P.; Michel, C.; Provost, J.; Raveau, B.; Sulpice, A.; Tholence, J. L.; Tournier, R. C. R. *Acad. Sci. (Paris)* **1987**, *304*, II 1097. (b) Kang, W.; Collin, G.; Ribault, M.; Friedel, J.; Jerome, D.; Bassat, J. M.; Coutures, J. P.; Odier, Ph. *J. Phys. (Paris)* **1987**, submitted for publication.

(8) (a) Johnston, D. C.; Stokes, J. P.; Goshorn, D. P.; Lewandowski, J. T. *Phys. Rev. B: Condens. Matter* **1987**, *36*, 4007. (b) Mitsuda, S.; Shirane, G.; Sinha, S. K.; Johnston, D. C., private communication. (c) Freltoft, T.; Remeika, J. O.; Moncton, D. E.; Cooper, A. S.; Fischer, J. E.; Harshman, D.; Shirane, G.; Sinha, S. K.; Vaknin, D., private communication.

(9) (a) Stoneham, A. M.; Harding, J. H. *Ann. Rev. Phys. Chem.* **1986**, *37*, 53. (b) *Computer Simulation of Solids*; Catlow, C. R. A., Mackrodt, W. C., Eds.; Springer-Verlag: New York, 1982. (c) Williams, D. E. *Topics Curr. Phys.* **1981**, *26*, 3. (d) Mirsky, K. In *Computing in Crystallography*; Delft University Press: Twente, 1978; p 169.

(10) Mason, E. A.; Rice, W. E. *J. Chem. Phys.* **1954**, *22*, 522.

(11) Stoneham, A. M. *Handbook of Interatomic Potentials, Vol. 1, Ionic Crystals*; Didicot: AERE Harwell Rep., AERE-R 9598, 1979.

(12) Mackrodt, W. C.; Stewart, R. F. *J. Phys. C.* **1979**, *12*, 431.

(13) Busing, W. R. WMIN, a computer program to model molecules and crystals in terms of potential energy functions, Oak Ridge National Laboratory, Oak Ridge, TN, 1981; ORNL-5497.

(14) The B and ρ parameters of the $\text{Cu}^{2+}\cdots\text{Cu}^{2+}$ and $\text{La}^{3+}\cdots\text{La}^{3+}$ pairs were refined on the basis of the studies on $\text{Fe}^{2+}\cdots\text{O}^{2-}$, $\text{Co}^{2+}\cdots\text{O}^{2-}$ and $\text{Ni}^{2+}\cdots\text{O}^{2-}$ and on $\text{La}^{3+}\cdots\text{O}^{2-}$.^{17,18}

(15) Asbrink, S.; Norrby, L.-J. *Acta Crystallogr., Sect. B: Struct. Crystallogr. Cryst. Chem.* **1970**, *B26*, 8.

(16) (a) Pauling, L. Z. *Krist.* **1929**, *69*, 415. (b) Wyckoff, R. W. G. *Crystal Structures*, 2nd ed.; Wiley: New York, 1964; Vol. 2, Chapter V, Section A.

Table II. The Experimental and Calculated Values for the Unit Cell and Atom Positional Parameters of the CuO, La₂O₃, and La₂CuO₄ Crystals^{a,b}

CuO ¹⁵ monoclinic (<i>C2/c</i>)	La ₂ O ₃ ¹⁶ trigonal (<i>P3m1</i>)	La ₂ CuO ₄ ^{1h} orthorhombic (<i>Cmca</i>)	La ₂ CuO ₄ tetragonal (<i>I4/mmm</i>)
<i>a</i> = 4.6837 (-0.0321)	<i>a</i> = 3.930 (-0.029)	<i>a</i> = 5.3562 (-0.0078)	<i>a</i> = 3.7945
<i>b</i> = 3.4226 (-0.0644)	<i>c</i> = 6.120 (0.196)	<i>b</i> = 13.1669 (0.1015)	<i>c</i> = 13.1205
<i>c</i> = 5.1288 (0.0233)	<i>z</i> (La) = 0.235 (0.012)	<i>c</i> = 5.3990 (0.0129)	<i>z</i> (La) = 0.3633
β = 99.54 (-1.82)	<i>z</i> (O) = 0.630 (0.032)	<i>y</i> (La) = 0.3613 (0.0011)	<i>z</i> (O2) = 0.1827
<i>z</i> (O) = 0.4184 (0.0065)		<i>z</i> (La) = 0.0061 (0.0018)	
		<i>y</i> (O1) = 0.0070 (0.0056)	
		<i>y</i> (O2) = 0.1842 (-0.0007)	
		<i>z</i> (O2) = -0.0336 (-0.0153)	

^a Except for tetragonal La₂CuO₄, the experimental values are the numbers without parentheses. The numbers in the parentheses refer to the deviations of the calculated values from the corresponding experimental ones. ^b The cell parameters *a*, *b*, and *c* are in units of Å, and the angle β is in units of deg.

C values describe the crystal structures of CuO and La₂O₃ quite well.¹⁹

To evaluate the energetics associated with the T \rightarrow O distortion in La₂CuO₄, we employ the WMIN program and calculate the crystal energy of La₂CuO₄ as a function of its unit cell and atom positional parameters on the basis of the atom-atom potentials generated by the *B*, ρ , and *C* values of Table I. As summarized in Table II, the crystal structure of orthorhombic La₂CuO₄ is very well reproduced by the present atom-atom potential calculations.¹⁹ Under the space group *Cmca*,^{1h} the crystal structure of La₂CuO₄ is calculated to remain orthorhombic [i.e., the *z*(La), *y*(O1), and *z*(O1) values are nonzero], although this space group does not prevent La₂CuO₄ from becoming tetragonal. Also listed in Table II are the optimum unit cell and atom positional parameters of tetragonal La₂CuO₄, calculated by imposing the space group *I4/mmm*, which are very close to the unit cell and atom positional parameters of tetragonal La_{1.85}Ba_{0.15}CuO₄ at room temperature.^{1h} According to the optimum structures of orthorhombic and tetragonal La₂CuO₄ obtained by the present atom-atom potential calculations, La₂CuO₄ is more stable in the orthorhombic than in the tetragonal structure by 1.85 kcal/mol per formula unit La₂CuO₄. This small energy difference seems quite reasonable, given the small structural difference between the two structures. We now examine how the dopants M might affect the T \rightarrow O distortion. The Sr²⁺ and Ba²⁺ cations are larger in ionic radius than the La³⁺ cation,²⁰ and, in average, the copper atoms of La_{2-x}M_xCuO₄ are in a higher oxidation state and hence are smaller in size than those of La₂CuO₄. In general, a larger cation gives rise to greater nonbonded repulsions and can be characterized by a larger *B* or ρ value in the nonbonded repulsion terms associated with the cation. To simulate the crystal structure of La_{2-x}M_xCuO₄, therefore, we perform the atom-atom potential calculations on orthorhombic La₂CuO₄ by increasing the *B* value for the La³⁺...La³⁺ pair and decreasing that for the Cu²⁺...Cu²⁺ pair. With such changes in the two values, La₂CuO₄ is calculated to be orthorhombic but "less orthorhombic" in that the *z*(La), *y*(O1), and *z*(O2) values become closer to zero. That is, the driving force for the T \rightarrow O distortion is diminished in La_{2-x}M_xCuO₄, and thus the T \rightarrow O distortion temperature would be lower in La_{2-x}M_xCuO₄ than in La₂CuO₄. Since the Ba²⁺ cation is larger in size than the Sr²⁺ cation,²⁰ the T \rightarrow O distortion temperature would be lower in La_{1.85}Ba_{0.15}CuO₄ than in La_{1.85}Sr_{0.15}CuO₄. These predictions are all in agreement with experiments.^{2b,6}

In summary, the T \rightarrow O distortion in both La₂CuO₄ and La_{2-x}M_xCuO₄ is not driven by an electronic instability, such as a Peierls distortion but by the ionic interactions involving the La³⁺

ions (and the M²⁺ ions as well in the doped materials).

Acknowledgment. Work at North Carolina State University and Argonne National Laboratory was supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Division of Materials Sciences under Grant DE-FG05-86-ER45259 and under Contract W31-109-ENG-38, respectively. We express our appreciation for computing time made available by DOE on the ER-Cray X-MP computer. We are grateful to Dr. W. R. Busing for making his WMIN program available to us and to Dr. D. Wolf for references.

A Regioselective Mechanism for Mutagenesis and Oncogenesis Caused by Alkylnitrosourea Sequence-Specific DNA Alkylation¹

Neil Buckley*

Brain Tumor Research Center of the Department of
Neurological Surgery, School of Medicine
University of California, San Francisco, California 94143

Received April 13, 1987

In the standard mechanism for alkylnitrosourea (ANU) alkylation of DNA in vitro and in vivo,² reactive intermediates formed hydrolytically in cytosol by the sequence ANU \Rightarrow RCH₂N=N-OH \rightarrow RCHN₂ = RCH₂N₂⁺ \rightarrow "RCH₂⁺" are thought to react with DNA nucleophiles by direct displacement, a process that should give a random distribution of products. Indeed, the "S_N2" reagents dimethylsulfate and 2-chloroethyl-(methylsulfonyl)methane sulfonate give random, nonsequence specific products at N₇-guanine (N₇-dG) in pBR-322 DNA.³ Yet the powerful mutagenic⁴ and oncogenic⁵ properties of the ANUs 1-methyl-(MNU) and 1-ethyl-1-nitrosourea (ENU) are related to site- and sequence-specific alkylation of O⁶-dG₂ in a 5'-dGdGdN-3' DNA codon, where dN is any base; neither N₇-dG₁ nor O⁶-dG₁ is alkylated.³⁻⁵ Sequence-specific reactions of ANUs

* Correspondence should be addressed to the author, c/o The Editorial Office, 1360 Ninth Avenue, Suite 210, San Francisco, CA 94122.

(1) Supported in part by NIH Program Project Grant CA-13525.

(2) See Lown, J. W.; Chauhan, S. M. S.; Koganty, R. R.; Sapse, A.-M. *J. Am. Chem. Soc.* **1984**, *106*, 6401-6408.

(3) Hartley, J. A.; Gibson, N. W.; Kohn, K. W.; Mattes, W. B. *Cancer Res.* **1986**, *46*, 1943-1947. 1-(2-Chloroethyl)-1-nitrosoureas (CENUs) give sequence-specific DNA alkylation products at N₇-dG. These authors reported no site-specific alkylation at N₇-dG for treatment with 5 mM ENU, but this high concentration may saturate available alkylation sites^{6b} and mask sequence-specific alkylation.

(4) The dAdT (82%) or dTdA (71%) mutations in the plasmid-carried *gpt* gene of *E. coli* treated with MNU and ENU are caused by sequence-specific alkylation of O⁶-dG₂ in the codon 5'-dG₁dG₂dN₃-3' (Richardson, K. K.; Richardson, F. C.; Crosby, R. M.; Swenberg, J. A.; Skopek, T. R. *Proc. Natl. Acad. Sci. U.S.A.* **1987**, *84*, 344-348).

(5) Activated Ha-*ras*-1 oncogenes in rat mammary tumors induced by MNU in vivo contained dG₁-MeO⁶-dG₂ to dAdT mutations in the sequence 5'-dG₁dG₂dN₃-3' (dN = dA or dC) (Zarbl, H.; Sukumar, S.; Arthur, A. V.; Martin-Zanca, D.; Barbacid, M. *Nature (London)* **1985**, *315*, 382-385).

(17) Catlow, C. R. A.; Mackrodt, W. C.; Norgett, M. J.; Stoneham, A. M. *Phil. Mag.* **1977**, *35*, 177.

(18) Kilner, J. A.; Brook, R. J. in ref 11, p 144.

(19) The calculated structures for CuO and orthorhombic La₂CuO₄ represent saddle points on the five- and eight-dimensional potential energy surfaces, respectively. With the present set of empirical potentials, minimum energy structures calculated for CuO and orthorhombic La₂CuO₄ are found physically meaningless.

(20) Shannon, R. D.; Prewitt, C. T. *Acta Crystallogr., Sect. B: Struct.* **1969**, *B25*, 925.